



# Systematic Transfer Plan (STP)/ Systematic Withdrawal Plan (SWP) Form

Please read instructions overleaf before filling the form

Form No : S

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) enrollment under the following scheme and we agree to abide by the terms and conditions of the Plan

## Key Partner / Agent Information

Distributor / Broker ARN ARN -	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code	Employee Unique Identification No. (EUIIN) (Of Individual ARN holder or Of employee / Relationship Manager / Sales Person of the Distributor)	Registered Investment Advisor Code
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For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instructions).

Sign Here Sole/First Applicant/Guardian	Sign Here Second Applicant	Sign Here Third Applicant
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Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Folio Number : <input type="text"/>	Application Number : <input type="text"/>
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## 1. Applicant's Personal Details

### FIRST / SOLE APPLICANT

Date of Birth

Name

### SECOND APPLICANT

Date of Birth

Name

### THIRD APPLICANT

Date of Birth

Name

## 2. Systematic Transfer Plan (STP) Mandate

(Investors applying under the direct plan must mention "Direct" in the box provided below)

From Scheme (from where you wish to transfer)	<input type="text"/>	Scheme Name	<input type="text"/>	Plan	<input type="text"/>	Option	<input type="text"/>
To Scheme (to where you wish to transfer)	<input type="text"/>	Scheme Name	<input type="text"/>	Plan	<input type="text"/>	Option	<input type="text"/>
Frequency (✓)	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly	STP Date (✓)	<input type="checkbox"/> 3 <sup>rd</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 15 <sup>th</sup> (Default) <input type="checkbox"/> 20 <sup>th</sup> <input type="checkbox"/> 25 <sup>th</sup>	(1st business day of each week)			
Period of Enrollment	From (1st Installment)	<input type="text"/>	To (Last Installment)	<input type="text"/>			
Transfer Amount (Per installment)	<input type="text"/>			₹ In Words	<input type="text"/>		
No. of Installments	<input type="text"/>	Total Transfer (Rs.)	<input type="text"/>	(Amt. per installment x No. of installments)			

## 3. Systematic Withdrawal Plan (SWP) Mandate

(Investors applying under the direct plan must mention "Direct" in the box provided below)

Scheme	<input type="text"/>	Scheme Name	<input type="text"/>	Plan	<input type="text"/>	Option	<input type="text"/>
Frequency (✓)	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly	SWP Date (✓)	<input type="checkbox"/> 3 <sup>rd</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 15 <sup>th</sup> (Default) <input type="checkbox"/> 20 <sup>th</sup> <input type="checkbox"/> 25 <sup>th</sup>	(1st business day of each week)			
Period of Enrollment	From (1st Installment)	<input type="text"/>	To (Last Installment)	<input type="text"/>			
Withdrawal Amount to be (Per Installment)	<input type="text"/>			₹ In Words	<input type="text"/>		
No. of Installments	<input type="text"/>	Total Withdrawal (Rs.)	<input type="text"/>				

## 4. Applicant's Signature

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

Please note : Signature(s) should be as it appears on the Application Form and in the same order.  
In case the mode of holding is joint, all Unit holders are required to sign

Date

Place

### Common Instructions

1. New Investors who wish to enroll for STP / SWP should fill this enrollment form in addition to the application form.
2. Existing investors need to provide the Folio Number and STP / SWP details only.
3. Unitholders are advised to consult their tax consultant with regard to the treatment of the transfer of units from the tax point of view.
4. Investors are advised to read the Scheme Information Document of the Target scheme carefully before investing. The Scheme Information Document and the Key Information Memorandum of the respective schemes are available with the Investor Service Centers of Invesco Mutual Fund and are also displayed on the website : [www.invescomutualfund.com](http://www.invescomutualfund.com)

### Features and Terms & Conditions of Systematic Transfer Plan (STP)

1. Eligible Source (Transferor) Schemes: Invesco India Liquid Fund, Invesco, India Ultra Short Term Fund, Invesco India Short Term Fund, Invesco India Active Income Fund, Invesco India Monthly Income Plan (MIP) Plus, Invesco India Credit Opportunities Fund, Invesco India Medium Term Bond Fund, Invesco India Arbitrage Fund, Invesco India Bank Debt Fund and Invesco India Corporate Bond Opportunities Fund.
2. Eligible Target (Transferee) Schemes: Invesco India Dynamic Equity Fund, Invesco India Mid Cap Fund, Invesco India Contra Fund, Invesco India Growth Fund, Invesco India Banking Fund, Invesco India Tax Plan, Invesco India Arbitrage Fund, Invesco India Business Leaders Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Mid N Small Cap Fund, Invesco India - Pan European Equity Fund, Invesco India - Global Equity Income Fund, Invesco India Monthly Income Plan (MIP) Plus, Invesco India Gold Fund, Invesco India Bank Debt Fund and Invesco India Corporate Bond Opportunities Fund.
3. The above list of Source and Target schemes is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.

Particulars	Weekly	Monthly	Quarterly (Jan/April/July/Oct)
Minimum balance in the source scheme at the time of enrolment	Rs. 25,000/-	Rs. 25,000/-	Rs. 25,000/-
STP Dates Offered	1st business day of each week	3rd or 10th or 15th or 20th or 25th of every month	3rd or 10th or 15th or 20th or 25th of every designated month
Minimum amount for STP installment (Rs. 500/- and in multiples of Rs. 500/- for Invesco India Tax Plan)	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter
Minimum Number of Installments	6	6	4

4. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed on 15th of month / quarter. In case the end date is not specified, the Fund would continue the STP till it receives termination notice from the investor. STP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
5. In case the date specified is not a Business Day or falls during a book closure period, the transaction will be effected on the next Business Day.
6. Load Structure

Schemes	Entry Load	Exit Load
Invesco India Growth Fund/ Invesco India Contra Fund/ Invesco India Banking Fund / Invesco India Dynamic Equity Fund/Invesco India Mid Cap Fund/Invesco India Business Leaders Fund/Invesco India PSU Equity Fund/ Invesco India Infrastructure Fund/Invesco India Mid N Small Cap Fund / Invesco India - Pan European Equity Fund / Invesco India - Global Equity Income Fund/ Invesco India Monthly Income Plan (MIP) Plus, Invesco India Corporate Bond Opportunities Fund	Nil	1.00% (if redeemed/switched out on or before 1 year from the date of allotment); Nil (if redeemed / switched out after 1 year from the date of allotment)
Invesco India Arbitrage Fund	Nil	0.50% (if redeemed/switched out on or before 15 days from the date of allotment); Nil (if redeemed / switched out after 15 days from the date of allotment)
Invesco India Tax Plan* / Invesco India Bank Debt Fund	Nil	Nil
Invesco India Gold Fund	Nil	2.00% (if redeemed/switched out on or before 6 months from the date of allotment); 1.00% (if redeemed/switched out after 6 months but on or before 1 year from the date of allotment); Nil (if redeemed / switched out after 1 year from the date of allotment)

7. Load Structure in the Transferee Scheme (target scheme) prevailing at the time of submission of STP application (whether for fresh enrolment or extension) will be applicable for all the investments through STP specified in the application.
8. In case the investor purchases additional Units in the source (transferor) scheme, the STP facility would be extended to such additional units also.
9. Units marked under lien or pledge in the source scheme will not be eligible for STP.
10. The unit holder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request.
11. STP (in) and SWP cannot be simultaneously registered for a folio for the same scheme.
12. In case the unit balance in the source (transferor) scheme is lesser than amount specified by the Unit holders for STP, the AMC will transfer remaining unit balance to target (transferee) scheme.
13. The facility will be automatically terminated if the units under the source (transferor) scheme are pledged or upon receipt of intimation of death of the Unit holder.
14. The transaction through STP will be subject to applicable exit load in the source (transferor) scheme.
15. The application for start of STP should be submitted to Official Point(s) of Acceptance at least 7 days before the date of commencement / start date of STP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next transfer/ STP execution date.
16. Unit holder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 7 days prior to next transfer / STP execution date.
17. Unit holders details and mode of holding in the target (transferee) scheme will be as per the existing folio in the source (transferor) scheme. Units in the transferee scheme will be allotted in the same folio.  
\*An Equity linked savings scheme with a lock-in -period of 3 years. Units can not be assigned / transferred / redeemed / switched - out until the completion of 3 years form the date of allotment.

### Features and Terms & Conditions of Systematic Withdrawal Plan (SWP)

1. The amount withdrawn under SWP by redemption will be converted into Units at the NAV based prices and the number of Units so arrived will be deducted from the Unit balance to the credit of that Unit holder.
2. Eligible Schemes for SWP: Invesco India Dynamic Equity Fund, Invesco India Mid Cap Fund, Invesco India Contra Fund, Invesco India Growth Fund, Invesco India Banking Fund, Invesco India Tax Plan (an equity linked savings scheme with a lock-in period of 3 years), Invesco India Business Leaders Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Mid N Small Cap Fund, Invesco India Arbitrage Fund, Invesco India - Pan European Equity Fund and Invesco India - Global Equity Income Fund. The above list is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.
3. This facility offers two options to the Unit holders:
  - a. Fixed option: Under this option, the Unit holder can withdraw a fixed amount on weekly/monthly/quarterly (Jan/April/July/Oct) basis by redemption of units in the Unit holders' account.
  - b. Appreciation option: Under this option, the unit holder can withdraw a fixed amount on weekly/monthly/quarterly (Jan/April/July/Oct) basis by redemption of appreciation, if any, in the value of Units.

Particulars	Weekly	Monthly	Quarterly (Jan/April/July/Oct)
Minimum balance in the source scheme at the time of enrolment	Rs. 25,000/-	Rs. 25,000/-	Rs. 25,000/-
SWP Dates Offered	1st business day of each week	3rd or 10th or 15th or 20th or 25th of every month	3rd or 10th or 15th or 20th or 25th of every designated month
Minimum amount for SWP installment (Rs. 500/- for Invesco India Tax Plan)	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter
Minimum Number of Installments	6	6	4

5. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the SWP date is not specified or in case of ambiguity, the SWP transaction will be processed on 15th of month / quarter. In case the end date is not specified, the Fund would continue the SWP till it receives termination notice from the investor. SWP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
6. In case of appreciation option, if, on the date of withdrawal, there is no appreciation or appreciation is less than the amount specified by the Unit holder, the redemption / withdrawal under this option will not be made.
7. In case the investor purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
8. The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unit holder.
9. The redemption under SWP will be subject to applicable Exit Load.
10. SIP and SWP cannot be simultaneously registered for a folio for the same scheme.
11. SWP and STP (in) cannot be simultaneously registered for a folio for the same scheme.
12. The investor should indicate in his request, the commencement / start date from which the appreciation in investment value should be computed. The withdrawal will commence after one month from the commencement / start date.
13. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 7 days before the date of commencement / start date of SWP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next SWP execution date.
14. Unit holder can discontinue SWP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 7 days prior to next SWP execution date.
15. If the balance under scheme falls below Rs. 5,000/-, then AMC reserves the right to redeem the balance units.