



Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of BNP Paribas Mutual Fund, I / We hereby apply to BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme.

I / We confirm that I am / we are not NRIs/PIOs residing in any of these Countries: USA, Canada, Afghanistan, Albania, Angola, Azerbaijan, Belarus, Bosnia-Herzegovina, Central African Republic, Cuba, Democratic Republic of Congo, Djibouti, Ecuador, Equatorial Guinea, Eritrea, Ethiopia, Guatemala, Guinea-Bissau, Guyana, Iran, Iraq, Jordan, Laos, Lebanon, Liberia, Libya, Marshall Islands, Mauritania, Mozambique, Myanmar, Nauru, Nicaragua, Nigeria, North Korea, Pakistan, Palestine, Papua New Guinea, Sierra Leone, Somalia, South Sudan, Sudan, Syria, Tajikistan, Turkmenistan, Uganda, Uzbekistan, Venezuela, Yemen and Zimbabwe.

I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine/the HUF / the Company / Trust/ Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The abovementioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I hereby confirm that BNP Paribas Mutual Fund/BNP Paribas Asset Management India Private Limited and its empanelled brokers/distributors has/have not given any indicative portfolio and indicative yield in any manner whatsoever.

**Applicable for Foreign tax laws and KYC details:** I/We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I further undertake to advise the AMC / Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances.

**Applicable to NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

If NRI, (please ✓)  Repatriation basis  Non-Repatriation basis

First / Sole Applicant / Guardian / POA Holder / Authorised Signatory

Second Applicant / Guardian / POA Holder

Third Applicant / Guardian / POA Holder

Date

|   |   |   |   |   |   |   |   |
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DECLARATION

SIGNATURE(S)

## STP - Instructions

- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (☐), where boxes have been provided. To start a STP, the STP Enrolment Form, complete in all respects, must reach atleast 14 working days in advance at any of the Official Points of Transactions.
- A single STP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Investors are advised to read the Key Information Memorandum / Statement of Additional Information / Scheme Information Document of the Transferee Scheme(s) carefully before investing. The Statement of Additional Information / Scheme Information Document / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs / distributors and are also available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).
- Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. The investor under MICRO SIP will not be able to opt for STP facility.
- Facilities available:  
STP offers unit holders the following two facilities:
  - Fixed Systematic Transfer Facility (FSTF) where investor can issue a standing instruction to transfer sums at Daily / Weekly / Fortnightly / Monthly / Quarterly (calendar quarter) intervals to plans / options within select schemes of the fund.
  - Capital Appreciation Systematic Transfer Facility (CASTF) where the investor can issue a standing instruction to transfer the entire capital appreciation from Transferor Scheme at Weekly / Fortnightly / Monthly / Quarterly intervals to designated Scheme(s) of the Fund. Both the facilities will offer transfers at weekly, fortnightly, monthly and quarterly intervals. Unit holder is free to opt for any of the above facilities and also choose the frequency of such transfers.
- Schemes available for Daily STP:**  
For the purpose of Daily STP following are the eligible Transferor Scheme(s) and Transferee Scheme(s):  
**Transferor Scheme(s):** BNP Paribas Overnight Fund (an open ended liquid scheme), BNP Paribas Money Plus Fund (an open ended income scheme) and BNP Paribas Short Term Income Fund (an open ended income scheme).  
**Transferee Scheme(s):** BNP Paribas Equity Fund (an open ended equity scheme), BNP Paribas Dividend Yield Fund (an open ended equity scheme), BNP Paribas Midcap Fund (an open ended equity scheme) and BNP Paribas Long Term Equity Fund (an open ended equity linked tax savings scheme)
- Date of transfer / minimum amount of transfer:
 

| FSTF / CASTF     | Date of transfer  | Minimum amount of transfer*                    |
|------------------|---|--|
| Daily (No CASTF) | Daily Interval (all Business Days) <sup>a</sup>   | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Weekly           | Transfer on 1st, 7th, 15th and 25th of a month  | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Fortnightly      | Transfer on 1st & 15th of a month   | Rs. 1000 and in multiples of Re. 1 thereafter  |
| Monthly          | Transfer on 1st or 7th or 15th or 25th of a month   | Rs. 1000 and in multiples of Re. 1 thereafter. |
| Quarterly        | Transfer on 1st or 7th or 15th or 25th of the first month of a quarter (i.e. January, April, July, October) | Rs. 1000 and in multiples of Re. 1 thereafter. |

<sup>a</sup> Investors should note that in case of Daily STP, the commencement date for transfers shall be the 15th working day from the date of receipt of a valid request and thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable load. In case the Weekly / Fortnightly / Monthly / Quarterly STP execution date falls on non-business day, the next business day will be considered as date of transfer. In the event of an intervening non-business day STP triggers will not take place and consequently the total number of Daily STP installments opted by the investor will be adjusted to that extent i.e., For e.g. if the investor has opted for 50 installments and if 8 nonbusiness days happen to occur in the intervening period, then only 42 Daily STP installments shall be triggered.

\*The minimum amount of transfer into BNP Paribas Long Term Equity Fund shall be Rs. 1000 & in multiples of Rs. 500 thereafter. BNP Paribas Long Term Equity Fund is an open ended equity linked tax savings scheme with a lock-in period of 3 years from the date of allotment of units. STP for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the Transferor Scheme.

An investor will have to opt for a minimum of 20 installments under Daily option, 6 installments under Weekly, Fortnightly, Monthly option and 2 installments for Quarterly STP otherwise enrolment request shall be liable to be rejected.
- An investor has to maintain minimum balance of Rs. 6000 in the opted Transferor Scheme at the time of enrolment of STP. If such minimum balance represented by clear units is not available, the application will be liable to be rejected.
- An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity STP enrolment request shall be liable to be rejected. In absence of information, the default option for Transferee scheme shall be growth option.
- Load structure of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.
- An investor has to select any one facility i.e. FSTF or CASTF. In case, investor doesn't select any facility or in case of any ambiguity, the STP enrolment request shall be rejected. In case investor has selected any one of the facility but has not selected frequency and / or date or in case of any ambiguity, by default, monthly frequency & 7th day shall be considered as frequency & execution date respectively. **Investors should note that CASTF facility is not available for Daily STP.**
- In FSTF, in case there is no minimum amount (as specified above) available in the unit holder's account for Transfer into Transferee Scheme, the transfer shall not be executed and the request of unit holder will stand withdrawn with immediate effect.  
For Daily STP: If the outstanding balance in "Transferor Scheme" does not cover any of the intermittent Daily STP installment amount, all outstanding units will be liquidated and Daily STP effected for such outstanding balance and Daily STP terminated for subsequent installments. However, if the outstanding balance in "Transferor Scheme" does not cover the last installment under the Daily STP, no transfer shall be effected and Daily STP shall be terminated without effecting the last installment under Daily STP.
- In CASTF, if there is no minimum appreciation amount in the Transferor scheme for the consecutive three installments, the STP request of the unitholder will stand withdrawn with immediate effect. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date [e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date].
- A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
- In case of minor applicant, the guardian can opt for STP only till the date of minor attaining majority. AMC shall suspend the standing instruction of STP enrolment from the date of minor attaining majority by giving adequate prior notice. Further, once the minor attains majority, the guardian will not be able to undertake any financial and non-financial transactions including fresh registration of STP and the folio shall be frozen for the further operation till the time requisite documents for changing the status from minor to major is submitted to the Fund.
- STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of unit holder.
- The provision of "Minimum redemption amount" specified in the Scheme Information Document of Transferor Scheme and "Minimum application amount" specified in the Scheme Information Documents of the Transferee Schemes will not be applicable for STP.
- Investors can also choose to terminate the STP by giving a written notice at least 7 Business Days in advance to the Official Points of Transactions. In case of Daily STP, termination shall be effected from 8th Business Day of receipt of valid request.
- Investors should note that more than one STP (i.e. daily / weekly / fortnightly / monthly or quarterly STP) can be registered under same Plan / Option of the Transferor Scheme.
- The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
- The enrolment form is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently.