

# Transaction Form For STP & SWP



Please refer to the General Instructions for assistance. If you are not investing through a Distributor, write DIRECT in the Distributor Code.

Time Stamp

Distributor Code	Sub-Distributor ARN	EUIN	Branch Code	Relationship Manager's Name	
ARN-	Sub-Distributor Code			Mobile +91-	
				E-mail	

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

### Transaction Charges

SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-

### Investor's Declaration where EUIN is not furnished

I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

If this is the first time, you are investing in any mutual fund, please tick here

Sole/1st Applicant

2nd Applicant

3rd Applicant

## 1. APPLICANT INFORMATION

Name of Sole/1st Unit Holder  First Name  Middle Name  Last Name  Folio No.

PAN/PEKRN\*\*  First Unit Holder  Second Unit Holder  Third Unit Holder

KIN^  First Unit Holder  Second Unit Holder  Third Unit Holder

Date of Birth^ (1st Unit Holder)  Date of Birth^ (2nd Unit Holder)  Date of Birth^ (3rd Unit Holder)

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.

^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

Mobile No. +91-  E-mail ID

## 2. SYSTEMATIC WITHDRAWAL PLAN (SWP) - Please note that the value of the unit balance in the source scheme should be at least Rs. 25,000

Scheme Name  L&T Option (✓)  Growth  Dividend Reinvestment  Dividend Payout

Dividend Frequency (✓ wherever applicable)  Daily  Weekly  Monthly\*  Quarterly  Annual^  Semi-Annual^

Withdrawal preference (✓)  Amount (₹)  OR  Capital Appreciation (Available for GROWTH plan only)

Withdrawal frequency (✓)  Monthly\*  Quarterly  Semi-Annual  Annual

Withdrawal date (✓)  1st  5th  10th\*  15th  20th  25th Withdrawal period From  To  OR  Till balance

## 3. SYSTEMATIC TRANSFER PLAN (STP) - Please note that the value of the unit balance in the source scheme should be at least Rs. 25,000

Scheme Name  L&T Option (✓)  Growth\*  Bonus^  Dividend Reinvestment  Dividend Payout

Dividend Frequency (✓ wherever applicable)  Daily  Weekly  Monthly\*  Quarterly  Annual^  Semi-Annual^

To Scheme  L&T Option (✓)  Growth\*  Dividend Reinvestment  Dividend Payout

Dividend Frequency (✓ wherever applicable)  Daily  Weekly  Monthly\*  Quarterly  Annual^  Semi-Annual^

Transfer preference (✓)  Amount (₹)  OR  Capital Appreciation (Available for GROWTH plan only) From  To  OR  Till balance

Transfer frequency (✓)  Daily  Weekly (✓)  Mon\*  Tue  Wed  Thu  Fri  Fortnightly (✓)  1st  15th\*

Monthly\*  Quarterly (✓)  1st  5th  10th\*  15th  20th  25th

\*Default option if not selected ^Available in select schemes only

## 4. DECLARATION & SIGNATURES (To be signed as per Mode of Holding)

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. I/We understand that the upfront commission will be paid directly by me/us to the AMFI registered distributors based on my/our assessment of various factors including the service rendered by the distributor. Also, the AMFI registered distributor has disclosed the commissions to me/us (in trail commission or any other), payable to him for different schemes of mutual funds from amongst which the scheme is being recommended to me/us

(Sole/First Unit Holder)

(Second Unit Holder)

(Third Unit Holder)

## ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)



Folio No.  Received from  Name of the Sole/First Unit Holder

Scheme/Plan/Option

SWP Instalment amount  Frequency (✓)  Monthly  Quarterly

STP Instalment amount  Frequency (✓)  Monthly  Quarterly  Weekly  Fortnightly

For Office Use Only

Acknowledgement Stamp & Date